

TRANSMITTAL FOR U.S. PATENT APPLICATION
UNDER 37 CFR §1.53(b)

Mail Stop: Patent Application
Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Attorney Docket No.: 073897.0147
Express Mail. No. EV 324624525 US

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Joel D. Daugherty, et al.

For: **SYSTEM AND METHOD FOR DETECTING UNAUTHORIZED
ACCESS USING A VOICE SIGNATURE**

Enclosed are:

- (1) Specification, including Claims and Abstract (30 pages);
- (2) Declaration and Power of Attorney (4 pages);
- (3) Formal Drawings (5 pages);
- (4) Assignment of the invention to Capital One Financial Corporation (3 pages);
- (5) Separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h) (2 pages);
- (6) This Transmittal Form (2 pages) with attached patent application filing fee in the amount of \$1,038.00;
- (7) Information Disclosure Statement (1 page);
- (8) PTO-1449 (1 page with 1 attached reference);
- (9) 1 page Certificate of Mailing by Express Mail EV 324624525 US; and
- (10) Baker Botts Return Acknowledgment Postcard.

Applicant is a large entity.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
Total Claims	22	- 20	2	X \$18 =	\$ 36.00
Independent Claims	6	- 3	3	X \$84 =	\$ 252 .00
TOTAL FILING FEE =					\$1,038.00

03916 U.S. PTO
10/659899
09/11/03



ATTORNEY DOCKET NO.:
073897.0147

PATENT APPLICATION

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Enclosed is a check in the amount of \$1,038.00 for the filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.
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073897.0147**

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joel D. Daugherty, et al.
Filed: September 11, 2003
Title: **SYSTEM AND METHOD FOR DETECTING
UNAUTHORIZED ACCESS USING A VOICE
SIGNATURE**

MAIL STOP: PATENT APPLICATION

Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application (30 pages), Declaration and Power of Attorney (4 pages), Assignment (3 pages); Assignment Recordation Form (2 page), a check in the amount of \$40.00 to cover the assignment filing fee, 5 pages of formal Drawings, Information Disclosure Statement (1 page), PTO-1449 (1 page with one attached reference), Fee Transmittal (2 pages), a check in the amount of \$1,038.00 to cover the patent application filing fee, Baker Botts return acknowledgement post card (1 card), and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 11th day of September, 2003 and is addressed to Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450.

Willie Jiles
Willie Jiles

Express Mail Receipt
No. EV 324624525 US

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073897.0147